

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William R. Hambrecht, et al.

Application No.: 10/632,818

Group No.: 3691

Filed: 08/04/03

Examiner: Campen, K.

For: Auction System and Method for Pricing and Allocation During Capital Formation

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY
(37 C.F.R. § 1.27(g)(2))

1. Applicant asserted small entity status in this application on August 4, 2003 by:
payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3))
2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2),
that it no longer has status as a small entity.

Date: August 4, 2009

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